

1 MARC J. FAGEL (Cal. Bar No. 154425)  
SUSAN F. LA MARCA (Cal. Bar No. 215231)  
2 lamarcas@sec.gov  
MARK P. FICKES (Cal Bar No. 178570)  
3 fickesm@sec.gov  
ELENA RO (Cal. Bar No. 197308)  
4 roe@sec.gov

5 Attorneys for Plaintiff  
SECURITIES AND EXCHANGE COMMISSION  
6 44 Montgomery Street, Suite 2600  
San Francisco, California 94104  
7 Telephone: (415) 705-2500  
Facsimile: (415) 705-2501  
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10 **UNITED STATES DISTRICT COURT**  
11 **NORTHERN DISTRICT OF CALIFORNIA**  
12 **SAN JOSE DIVISION**

13 SECURITIES AND EXCHANGE COMMISSION,

14 Plaintiff,

15 vs.

16 KENNETH L. SCHROEDER,

17 Defendant.  
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Case No. C-07-3798 JW

**CERTIFICATION OF NON-PARTY  
INTERESTED ENTITIES OR PERSONS**

21 Pursuant to Local Rule 3-16, plaintiff Securities and Exchange Commission ("Commission")  
22 hereby submits its Certification of Non-party Interested Entities or Persons.

23 Defendant Kenneth L. Schroeder is also a defendant in *In re KLA-Tencor Corp. Shareholder*  
24 *Derivative Litigation*, C-06-3445 (JW), which concerns alleged instances of improper options  
25 backdating at KLA, including instances at issue in this lawsuit. As a result, the parties to that

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1 litigation may have an interest that could be substantially affected by the outcome of the  
2 Commission's action against Schroeder. Aside from that, the Commission is not aware of any other  
3 non-parties who have a financial interest in, or may be substantially affected by, the outcome of this  
4 case.

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6 DATED: October 29, 2007

Respectfully Submitted,

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8 /s/ Mark P. Fickes

Susan F. LaMarca

9 Mark P. Fickes

10 Elena Ro

Attorneys for Plaintiff

11 SECURITIES AND EXCHANGE COMMISSION  
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